



Standard vs. Tailored Plan Option – Non-U.S. Financial Groups*

DFA Plans:

1. Executive Summary (required for all plans - not entity specific)
2. Strategic Analysis of Plan Components
3. Description of Corporate Governance Structure
4. Description of Organizational Structure
5. Description of Management Information Systems
6. Descriptions of Interconnections and Interdependencies with Material Entities
7. Supervisory and Regulatory Information
8. Contact Information
9. Integration of U.S. Plan into Global Plan (required for all plans - not entity specific)

Standard Plan

Top Tier Hold Co.

Components 2-8

U.S. Non-Banking Operations

Subject to Bankruptcy Code

Components 2-8

Subject to Special Insolvency Regime

Components 3-8 and 2 (to a limited extent, unless assets of \$50+ billion/Critical Operation)

U.S. IDI (Banking) Operations

Over \$50 Billion

Components 2-8

Less than \$50 Billion

Components 3-8 and 2 (to a limited extent, unless Critical Operation)

Non-U.S. Operations

Banking (office of an IDI) and

Non-Banking

Components 3-8 and 2 (to a limited extent, unless assets of \$50+ billion/Critical Operation)

Tailored Plan

Top Tier Hold Co.

Components 2-8

U.S. Non-Banking Operations

Subject to Bankruptcy Code

Components 2-8

Subject to Special Insolvency Regime

Components 3-8 and 2 (to a limited extent, unless assets of \$50+ billion/Critical Operation)

U.S. IDI (Banking) Operations

Over \$50 Billion

Components 6 and 8

Less than \$50 Billion

Components 6 and 8

Non-U.S. Operations

Banking (office of an IDI)

Components 6 and 8

Non-Banking

Components 3-8 and 2 (to a limited extent, unless assets of \$50+ billion/Critical Operation)

* Required plan components apply to Material Entities of the financial group.